

SELF-CERTIFICATION FATCA AND CRS (INDIVIDUALS)

Partner no. (to be filled by the bank)	Residence address (street, house number, zip code, city, country)
Customer (name, surname)	

Please read the following form carefully and provide the information to the best of your knowledge. Please sign this form on page 2.

1. We kindly ask you to provide U.S. with all countries you are resident in for tax purposes and the respective TINs (Tax Identification Numbers or equivalent numbers) for each country listed. If a TIN is not available, please provide the appropriate reason A or B like indicated here:

Reason A: The country/jurisdiction where you are resident does not issue TINs to its residents.

Reason B: You are otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason).

Country of tax residence 1	TIN 1 / If no TIN available enter Reason A or B
Country of tax residence 2	TIN 2 / If no TIN available enter Reason A or B
Country of tax residence 3	TIN 3 / If no TIN available enter Reason A or B

Please answer all of the following questions with respect to FATCA (2-5)

2. Are you a U.S. citizen? (also applicable in case of dual citizenship)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3. Do you have a permanent U.S. residence permit (active U.S. Green Card)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4. Do you have any place of residence located in USA?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
5. Were you born in the U.S.?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Should you have answered **question 3** with »Yes« and provide no U.S. tax residence under part 1, despite being in possession of a U.S. Green Card, we kindly ask you to give U.S. a plausible reason:

Should you have answered **question 4** with »Yes« we kindly ask you to state the address of your place of residence located in USA, if different from the above mentioned residence address:

Should you have answered **question 5** with »Yes« and, despite being born in the U.S. currently do not possess the U.S. citizenship, we kindly ask you to provide U.S. with:

<input type="checkbox"/> a copy of your Certificate of Loss of Nationality of the United States (if available) or	<input type="checkbox"/> a reasonable written explanation of your renunciation of U.S. citizenship or the reason you did not obtain U.S. citizenship at birth: _____ _____ _____
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Please choose **one** of the following points (6-8) eligible to you

6. I hereby confirm that I am **not** a U.S. resident for tax purposes.

7. I hereby confirm that I am not a U.S. resident for tax purposes because I fall under one of the following exemptions from U.S. tax relevance:

- | | |
|--|--|
| <input type="checkbox"/> I am
<input type="checkbox"/> a teacher at a U.S. educational institution and hold the appropriate visa
or
<input type="checkbox"/> a student, trainee, or intern at a U.S. educational institution or a participant in a educational or cultural exchange visitor program and hold the appropriate visa
or
<input type="checkbox"/> a foreign individual assigned to a diplomatic post or a position in a consulate, embassy or international organization in USA | <input type="checkbox"/> or
a spouse or unmarried child under the age of 21 of one of the persons described previously
or
<input type="checkbox"/> exempt from U.S. tax relevance for any other reason. Please state in the following, why you are exempt from U.S. tax relevance:

_____ |
|--|--|

8. I hereby confirm, given the statements above, that I am a U.S. resident for tax purposes. Please provide your U.S. tax residence and U.S. TIN under **point 1**.

Should you be unsure whether one of the above mentioned categories applies to you or should you be unsure where you are resident in for tax purposes, please consult your tax advisor. The bank may not act as a tax advisor.

I, the undersigned, confirm to disclose all information, if the account is held for a third party. Furthermore I confirm with my signature, that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. I undertake to advise UCB AG within 30 days of any change in circumstances which causes the information contained herein to become incorrect.

Place, date

Signature of the client or authorized representative/s

For internal bank use only

FATCA/CRS-relevant data has been checked for consistency and completeness and input in EuroSIG

Place, date

Signature and name stamp of bank officer