

FATCA/CRS SELF-CERTIFICATION (INDIVIDUAL)

Partner no. (to be filled by the bank)

Name, Surname

Date of birth

Residence address (street, house number, zip code, city, country)

If you do not provide the self-certification correctly or not completely, or if you do not provide a notification of the newly applicable information in the event of any change in circumstances or if you do not provide it correctly, not completely or in time, this can be punished with a fine of up to ten thousand euros acc. to Sec. 28 (1), (1a) FKAustG.

- We kindly ask you to provide us with **all countries** you are **resident for tax purposes** and the respective TINs (Tax Identification Numbers or equivalent numbers for tax purposes) for each country listed. If you are resident in Germany for tax purposes, it is not mandatory to provide the German TIN. If you are unable to enter a foreign TIN(s), please enter the corresponding reason:

Country 1

TIN 1

It is **not** possible to enter a TIN

The country in which you are resident for tax purposes does not issue a TIN.

Other reason:

Country 2

TIN 2

It is **not** possible to enter a TIN

The country in which you are resident for tax purposes does not issue a TIN.

Other reason:

Country 3

TIN 3

It is **not** possible to enter a TIN

The country in which you are resident for tax purposes does not issue a TIN.

Other reason:

If you are resident for tax purposes in more than three countries, you are obliged to inform us of these tax residences as well. Please submit this additional information on a separate sheet with this form.

Please answer all of the following questions with respect to FATCA (2-5).

2.	Do you have U.S. citizenship? (also applicable in case of dual citizenship)	Yes	No
3.	Do you have a permanent U.S. Permanent Resident Card (»U.S. Green Card«)? If you answered »Yes« to this question, please submit a copy of your U.S. Green Card with this form.	Yes	No
4.	Do you have any place of residence located in the U.S.?	Yes	No
5.	Were you born in the USA? If you answered »Yes« to this question and do not have U.S. citizenship despite being born in the USA, please submit a copy of your Certificate of Loss of Nationality with this form.	Yes	No

Please select the item that applies to you (6 or 7) regarding FATCA.

6. I hereby confirm that I am **not** a U.S. resident for tax purposes.

If you are **not** a U.S. tax resident because one of the following statements applies to you, please indicate this and enclose a copy of the relevant visa:

I am

or

a teacher at a U.S. educational institution and hold the appropriate visa

a spouse or unmarried child under the age of 21 of one of the persons described previously and hold the appropriate visa

or

or

a student, trainee, or intern at a U.S. educational institution and hold the appropriate visa

exempt from U.S. tax residence for any other reason.
Please state in the following, why you are exempt from U.S. tax residence:

or

a participant in an educational or cultural exchange visitor program and hold the appropriate visa

or

a foreign individual assigned to a diplomatic post or a position in a consulate, embassy or international organization in the U.S.

7. I hereby confirm, that I am a U.S. resident for tax purposes.

8. If you are a tax resident in one of the following countries, please also submit the »RBI/CBI form«, which you can obtain on request: Antigua and Barbuda, Bahamas, Bahrain, Barbados, Dominica, Grenada, Malta, St. Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates, Vanuatu or Cyprus.

Please understand that as a financial institution, we are not allowed to provide tax advice for legal reasons. If you have any questions about your tax residency, please consult your tax advisor.

With your signature, you affirm that all information provided is true and complete, and you hereby undertake to notify UniCredit Bank GmbH of the change within 30 days in the event of a change to the above information.

Place, Date

Signature of the client or authorized representative/s

For internal bank use only

FATCA/CRS-relevant data has been checked for completeness and plausibility and inserted in EuroSIG

Place, Date

Signature and name stamp of bank officer in the offline case