

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Equity Derivatives, Options and Futures admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Eurex European Market XEUR	99.91 %	99.97 %	91.93 %	7.94 %	50.00 %
ICE Futures Europe – Equity Products IFLO	0.09 %	0.03 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Interest rates derivatives, Futures and options admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Eurex European Market XEUR	100.00 %	100.00 %	58.85 %	35.14 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Equities – Shares & Depository Receipts (T12)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOERSE STUTTGART – FREIVERKEHR STUB	23.75 %	21.14 %	0.00 %	0.00 %	46.73 %
XETRA – FREIVERKEHR XETB	20.10 %	20.10 %	17.26 %	66.42 %	47.77 %
Frankfurt Floor XFRA	16.49 %	18.08 %	0.00 %	0.00 %	48.71 %
Deutsche Börse XETA XETA	13.06 %	10.73 %	16.30 %	71.91 %	39.01 %
Tradegate XGAT	10.37 %	9.25 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Equities – Shares & Depository Receipts (T34)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
XETRA – FREIVERKEHR XETB	27.23 %	20.46 %	15.77 %	67.60 %	47.79 %
Deutsche Börse XETA XETA	24.31 %	24.89 %	22.93 %	66.01 %	44.43 %
BOERSE STUTTGART – FREIVERKEHR STUB	14.74 %	16.35 %	0.00 %	0.00 %	46.48 %
Frankfurt Floor XFRA	9.59 %	11.49 %	0.00 %	0.00 %	48.47 %
BOERSE FRANKFURT – FREIVERKEHR FRAB	8.03 %	7.94 %	0.00 %	0.00 %	49.51 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Equities – Shares & Depository Receipts (T56)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse XETAXETA	55.51 %	46.80 %	20.67 %	65.99 %	44.44 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	16.04 %	19.10 %	0.00 %	0.00 %	29.79 %
XETRA – FREIVERKEHRXETB	7.00 %	8.73 %	17.05 %	68.64 %	45.05 %
BOERSE STUTTGART – REGULIERTER MARKTSTU A	4.96 %	3.60 %	0.00 %	0.00 %	40.16 %
BOERSE STUTTGART – FREIVERKEHRSTUB	4.95 %	6.92 %	0.00 %	0.00 %	44.12 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Debt instruments, Bonds	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	66.20 %	59.41 %	0.00 %	0.00 %	26.72 %
BOERSE STUTTGART – FREIVERKEHR STUB	22.03 %	29.22 %	0.00 %	0.00 %	48.13 %
Frankfurt Floor XFRA	3.32 %	4.02 %	0.00 %	0.00 %	46.18 %
BOERSE STUTTGART – REGULIERTER MARKT STU A	2.72 %	0.48 %	0.00 %	0.00 %	40.97 %
BOERSE BERLIN – FREIVERKEHR BERB	1.59 %	1.90 %	0.00 %	0.00 %	32.92 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Structured finance instruments	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	32.07 %	41.08 %	0.00 %	0.00 %	17.12 %
BOERSE STUTTGART – FREIVERKEHR STUB	28.70 %	34.85 %	0.00 %	0.00 %	41.88 %
Deutsche Börse XETA XETA	19.42 %	13.79 %	15.10 %	74.40 %	41.91 %
BOERSE DUESSELDORF – FREIVERKEHR DUSB	13.83 %	0.09 %	0.00 %	0.00 %	47.37 %
Frankfurt Floor XFRA	2.17 %	2.49 %	0.00 %	0.00 %	49.90 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Securitized Derivatives, Warrants and Certificate Derivatives	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOERSE STUTTGART – FREIVERKEHR STUB	79.20 %	79.91 %	0.00 %	0.00 %	47.49 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	9.00 %	9.94 %	0.00 %	0.00 %	47.34 %
Frankfurt Floor XFRA	7.27 %	5.98 %	0.00 %	0.00 %	49.83 %
BOERSE FRANKFURT – FREIVERKEHR FRAB	2.98 %	2.89 %	0.00 %	0.00 %	49.81 %
BANK VONTOBEL EUROPE AG – SYSTEMATIC INTERNATIONALISER VONT	1.44 %	1.16 %	0.00 %	0.00 %	46.82 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse XETA XETA	67.31 %	69.21 %	6.30 %	78.63 %	43.20 %
BOERSE STUTTGART – FREIVERKEHR STUB	14.43 %	10.64 %	0.00 %	0.00 %	40.79 %
BOERSE STUTTGART – REGULIERTER MARKT STU A	4.07 %	2.26 %	0.00 %	0.00 %	44.59 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	2.98 %	5.44 %	0.00 %	0.00 %	21.62 %
Frankfurt Floor XFRA	2.95 %	3.64 %	0.00 %	0.00 %	38.02 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Debt instruments, Money markets instruments	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	88.46 %	62.91 %	0.00 %	0.00 %	42.23 %
BOERSE STUTTGART – FREIVERKEHR STUB	7.42 %	26.31 %	0.00 %	0.00 %	44.76 %
Frankfurt Floor XFRA	3.11 %	6.77 %	0.00 %	0.00 %	50.00 %
BOERSE FRANKFURT – FREIVERKEHR FRAB	0.68 %	3.76 %	0.00 %	0.00 %	50.00 %
BOERSE DUESSELDORF – FREIVERKEHR DUSB	0.33 %	0.25 %	0.00 %	0.00 %	0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Equity Derivatives, Options and Futures admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH INTERNATIONAL GGDZP1UYGU9S TUHRDP48	56.05 %	6.13 %	0.00 %	0.00 %	50.00 %
UBS AG BFM8T61CT2L1QCEMIK50	43.95 %	93.87 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Equities – Shares & Depository Receipts (T12)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	74.11 %	81.46 %	0.00 %	0.00 %	41.34 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRV198	20.97 %	13.26 %	5.38 %	63.44 %	45.03 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	2.73 %	2.57 %	11.11 %	55.56 %	48.61 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	1.85 %	2.21 %	0.00 %	0.00 %	46.77 %
QUINTET PRIVATE BANK (EUROPE) S.A. KHCL6 5TP05J1HUW2D560	0.28 %	0.36 %	0.00 %	10.00 %	45.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Equities – Shares & Depository Receipts (T34)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	96.60 %	91.44 %	0.00 %	0.00 %	44.78 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRV198	1.64 %	4.42 %	6.69 %	82.04 %	45.95 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	1.26 %	2.51 %	0.00 %	0.00 %	32.92 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	0.37 %	1.49 %	5.21 %	77.08 %	44.27 %
WOOD & Company Financial Services, a.s. 549300UYJKOXE3HB8L79	0.13 %	0.12 %	0.00 %	62.50 %	43.75 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Equities – Shares & Depository Receipts (T56)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	94.12 %	92.57 %	0.00 %	0.00 %	45.88 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRV198	3.97 %	5.75 %	1.45 %	90.80 %	41.08 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	1.59 %	1.55 %	2.76 %	89.99 %	39.77 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	0.32 %	0.13 %	0.00 %	0.00 %	35.59 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRV198	43.39 %	60.47 %	1.45 %	89.02 %	45.53 %
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPFQFN3BB653	41.05 %	22.82 %	0.00 %	0.00 %	40.75 %
JEFFERIES LLC 58PU97L1C0 WSRWADL48	15.56 %	16.71 %	1.05 %	89.21 %	45.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Currency derivatives, Futures and options admitted to trading on a trading venue	01/01/2020	31/12/2020	Yes
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH INTERNATIONAL GGDZP1UYGU9S TUHRDP48 RNATIONAL GGDZP1UYGU9STUHRDP48 INTERNATIONAL GGDZP1UYGU9S TUHRDP48	100.00 %	100.00 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Commodities derivatives and emission allowances Derivatives, Options and Futures admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ICE Futures Europe IFEU	100.00 %	100.00 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Equity Derivatives, Options and Futures admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Eurex European Market XEUR	96.99 %	59.50 %	68.61 %	24.43 %	50.00 %
ICE Futures Europe – Financial Products IFLL	2.50 %	1.10 %	0.00 %	0.00 %	50.00 %
Borsa Italiana Derivatives XDMI	0.32 %	7.92 %	0.00 %	0.00 %	50.00 %
Paris Stock Exchange XPAR	0.07 %	1.01 %	0.00 %	0.00 %	50.00 %
ICE Futures Europe – Equity Products IFLO	0.05 %	0.16 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Interest rates derivatives, Futures and options admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Eurex European Market XEUR	97.33 %	94.80 %	18.55 %	78.95 %	50.00 %
ICE Futures Europe – Financial Products IFLL	2.67 %	5.20 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Equities – Shares & Depository Receipts (T12)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Borsa Italiana (MTAA) MTAA	20.00 %	35.36 %	44.16 %	51.05 %	0.12 %
Aim Italia XAIM	14.48 %	24.29 %	41.33 %	53.44 %	0.97 %
Euro TLX ETLX	12.49 %	12.87 %	35.00 %	64.71 %	0.93 %
Frankfurt Floor XFRA	11.44 %	7.15 %	0.00 %	0.00 %	49.97 %
XETRA – FREIVERKEHR XETB	8.59 %	4.36 %	32.40 %	52.33 %	49.98 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Equities – Shares & Depository Receipts (T34)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Borsa Italiana (MTAA) MTAA	63.51 %	80.25 %	43.98 %	53.18 %	0.09 %
Euro TLX ETLX	11.15 %	6.68 %	41.72 %	58.17 %	2.21 %
Vienna Stock Exchange XWBO	8.06 %	3.80 %	37.89 %	48.59 %	50.00 %
Deutsche Börse XETA XETA	3.96 %	1.29 %	35.68 %	52.20 %	49.36 %
XETRA – FREIVERKEHR XETB	3.58 %	1.30 %	29.25 %	55.87 %	49.94 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Equities – Shares & Depository Receipts (T56)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Borsa Italiana (MTAA) MTAA	88.35 %	92.54 %	37.48 %	60.57 %	0.07 %
Deutsche Börse XETA XETA	6.34 %	2.24 %	24.70 %	62.95 %	49.83 %
Vienna Stock Exchange XWBO	1.34 %	1.02 %	36.79 %	51.15 %	50.00 %
Euro TLX ETLX	1.12 %	1.46 %	39.83 %	59.95 %	43.04 %
Borsa Italiana (Trading After Hours) MTAH	0.71 %	0.95 %	49.23 %	50.39 %	38.62 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Debt instruments, Bonds	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Borsa Italiana International Bond Market XMO T	73.91 %	50.71 %	25.99 %	72.37 %	1.38 %
Euro TLX ETLX	13.56 %	33.76 %	23.45 %	76.52 %	0.97 %
Borsa Italiana XMIL	5.62 %	5.28 %	0.19 %	99.75 %	0.01 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	5.25 %	5.03 %	0.00 %	0.00 %	3.65 %
BOERSE STUTTGART – FREIVERKEHR STUB	0.96 %	1.58 %	0.00 %	0.00 %	49.95 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Structured finance instruments	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse XETAXETA	16.68 %	7.32 %	27.47 %	55.85 %	49.63 %
BOERSE STUTTGART – FREIVERKEHR STUB	16.65 %	18.06 %	0.00 %	0.00 %	49.55 %
Borsa Italiana ETFplus Market ETFP	15.25 %	25.71 %	39.32 %	57.89 %	0.08 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	11.84 %	8.40 %	0.00 %	0.00 %	3.98 %
Euro TLX ETLX	11.47 %	17.11 %	31.03 %	67.15 %	1.24 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Securitized Derivatives, Warrants and Certificate Derivatives	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Securitized Derivatives Market – Milan SEDX	66.16 %	74.86 %	51.27 %	48.69 %	0.34 %
BOERSE STUTTGART – FREIVERKEHR STUB	16.04 %	12.50 %	0.00 %	0.00 %	49.85 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	8.66 %	1.87 %	0.00 %	0.00 %	0.46 %
Frankfurt Floor XFRA	4.74 %	3.72 %	0.00 %	0.00 %	49.99 %
BOERSE FRANKFURT – FREIVERKEHR FRAB	1.38 %	1.20 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Borsa Italiana ETFplus Market ETFP	77.58 %	87.96 %	41.98 %	52.37 %	0.20 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	12.72 %	4.37 %	0.00 %	0.00 %	49.90 %
Deutsche Börse XETA XETA	8.73 %	6.73 %	4.05 %	42.44 %	49.81 %
BOERSE STUTTGART – FREIVERKEHR STUB	0.37 %	0.24 %	0.00 %	0.00 %	47.28 %
Frankfurt Floor XFRA	0.14 %	0.17 %	0.00 %	0.00 %	48.97 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Other instruments	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MARKET FOR INVESTMENT VEHICLES MIVX	88.04 %	98.91 %	23.10 %	72.39 %	0.00 %
Eurex European Market XEUR	9.88 %	0.14 %	100.00 %	0.00 %	50.00 %
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	1.20 %	0.43 %	0.00 %	0.00 %	50.00 %
Frankfurt Floor XFRA	0.52 %	0.26 %	0.00 %	0.00 %	50.00 %
BOERSE FRANKFURT – FREIVERKEHR FRAB	0.15 %	0.12 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Debt instruments, Money markets instruments	01/01/2020	31/12/2020	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	65.39 %	14.76 %	0.00 %	0.00 %	45.95 %
Euro TLX ETLX	27.71 %	59.03 %	6.06 %	90.61 %	0.00 %
Borsa Italiana International Bond Market XMO T	5.51 %	21.40 %	14.14 %	79.46 %	9.20 %
BOERSE STUTTGART – FREIVERKEHR STUB	0.96 %	1.97 %	0.00 %	0.00 %	50.00 %
Frankfurt Floor XFRA	0.35 %	0.84 %	0.00 %	0.00 %	45.83 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Commodities derivatives and emission allowances Derivatives, Options and Futures admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH INTERNATIONAL GGDZP1UYGU9S TUHRDP48	98.58 %	89.72 %	0.00 %	0.00 %	50.00 %
UBS AG BFM8T61CT2L1QCCEMIK50	1.42 %	10.28 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equity Derivatives, Options and Futures admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH INTERNATIONAL GGDZP1UYGU9S TUHRDP48	94.34 %	20.81 %	0.00 %	0.00 %	50.00 %
UBS AG BFM8T61CT2L1QCEMIK50	5.66 %	79.14 %	0.00 %	0.00 %	50.00 %
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	0.00 %	0.05 %	0.00 %	0.00 %	0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities – Shares & Depository Receipts (T12)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF6FNF3BB653	94.33 %	96.08 %	0.31 %	0.00 %	15.88 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRVI98	4.31 %	2.99 %	23.96 %	26.65 %	49.60 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	1.14 %	0.78 %	8.81 %	18.65 %	49.74 %
QUINTET PRIVATE BANK (EUROPE) S.A. KHCL6 5TP05J1HUW2D560	0.19 %	0.09 %	0.00 %	0.00 %	50.00 %
WOOD & Company Financial Services, a.s. 5493 00UYJKOXE3HB8L79	0.02 %	0.02 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities – Shares & Depository Receipts (T34)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	94.61 %	98.22 %	0.00 %	0.00 %	6.72 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRVI98	3.35 %	1.26 %	33.96 %	55.57 %	49.58 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	1.36 %	0.44 %	31.90 %	58.45 %	49.73 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	0.68 %	0.08 %	0.00 %	0.00 %	41.91 %
WOOD & Company Financial Services, a.s. 5493 00UYJKOXE3HB8L79	0.00 %	0.00 %	0.00 %	25.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Equities – Shares & Depository Receipts (T56)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	93.46 %	98.88 %	0.00 %	0.00 %	7.52 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRVI98	3.71 %	0.75 %	9.69 %	77.88 %	49.28 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	1.53 %	0.00 %	0.00 %	4.35 %	47.83 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	1.30 %	0.37 %	8.02 %	80.18 %	49.54 %
WOOD & Company Financial Services, a.s. 5493 00UYJKOXE3HB8L79	0.00 %	0.00 %	0.00 %	100.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Debt instruments, Bonds	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS AG BFM8T61CT2L1QCEMIK50	97.02 %	97.32 %	0.00 %	0.00 %	50.00 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	2.94 %	2.31 %	0.00 %	0.00 %	44.74 %
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	0.04 %	0.37 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Structured finance instruments	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	99.05 %	96.96 %	0.00 %	0.00 %	27.00 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRVI98	0.77 %	2.32 %	15.38 %	69.23 %	50.00 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	0.18 %	0.72 %	0.00 %	50.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	93.37 %	85.95 %	0.00 %	0.00 %	17.87 %
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRVI98	4.40 %	9.63 %	4.86 %	86.31 %	49.19 %
JEFFERIES LLC 58PU97L1C0 WSRWADL48	2.23 %	4.41 %	4.66 %	90.19 %	49.52 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	0.00 %	0.01 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Currency derivatives, Futures and options admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH INTERNATIONAL GGDZP1UYGU9S TUHRDP48	100.00 %	99.71 %	0.00 %	0.00 %	50.00 %
UBS AG BFM8T61CT2L1QCCEMIK50	0.00 %	0.29 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Interest rates derivatives, Futures and options admitted to trading on a trading venue	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH INTERNATIONAL GGDZP1UYGU9S TUHRDP48	99.99 %	99.69 %	0.00 %	0.00 %	50.00 %
UBS AG BFM8T61CT2L1QCCEMIK50	0.01 %	0.31 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Securitized Derivatives, Warrants and Certificate Derivatives	01/01/2020	31/12/2020	Yes
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	100.00 %	100.00 %	0.00 %	0.00 %	37.84 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Structured finance instruments	01/01/2020	31/12/2020	Yes
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JEFFERIES INTERNATIONAL LIMITED S5THZMDU JCTQZBTRV198	82.74 %	67.86 %	5.26 %	84.21 %	23.68 %
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	7.86 %	17.86 %	0.00 %	100.00 %	40.00 %
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	5.44 %	7.14 %	0.00 %	0.00 %	25.00 %
Baader Bank Aktiengesellschaft 529900JFOPPED UR61H13	3.94 %	3.57 %	0.00 %	0.00 %	50.00 %
UBS AG BFM8T61CT2L1QCCEMIK50	0.02 %	3.57 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Other instruments	01/01/2020	31/12/2020	Yes
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOERSE STUTTGART – FREIVERKEHR STUB	49.84 %	39.22 %	0.00 %	0.00 %	50.00 %
Frankfurt Floor XFRA	15.66 %	21.57 %	0.00 %	0.00 %	40.91 %
BOERSE FRANKFURT – FREIVERKEHR FRAB	11.50 %	13.73 %	0.00 %	0.00 %	50.00 %
BOERSE MUENCHEN ? GETTEX ? FREIVERKEHR M UND	8.57 %	7.84 %	0.00 %	0.00 %	50.00 %
Tradegate XGAT	5.88 %	3.92 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Other instruments	01/01/2020	31/12/2020	No
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	100.00 %	100.00 %	0.00 %	0.00 %	0.31 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Commodities derivatives and emission allowances Derivatives, Options and Futures admitted to trading on a trading venue	01/01/2020	31/12/2020	Yes
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MERRILL LYNCH INTERNATIONAL GGDZP1UYGU9S TUHRDP48	100.00 %	100.00 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Securitized Derivatives, Other securitized derivatives	01/01/2020	31/12/2020	Yes
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOERSE FRANKFURT – FREIVERKEHR FRAB	98.30 %	76.92 %	0.00 %	0.00 %	50.00 %
BOERSE STUTTGART – FREIVERKEHR STUB	1.62 %	7.69 %	0.00 %	0.00 %	50.00 %
MARKET FOR INVESTMENT VEHICLES MIVX	0.08 %	15.39 %	0.00 %	0.00 %	0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Placement/transmission	Securitized Derivatives, Other securitized derivatives	01/01/2020	31/12/2020	Yes
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JEFFERIES LLC 58PU97L1C0 WSRCWADL48	91.99 %	60.47 %	53.85 %	34.62 %	50.00 %
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	8.01 %	39.53 %	0.00 %	0.00 %	32.35 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Other instruments	01/01/2020	31/12/2020	Yes
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	100.00 %	100.00 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Placement/transmission	Securitized Derivatives, Warrants and Certificate Derivatives	01/01/2020	31/12/2020	Yes
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MORGAN STANLEY & CO. INTERNATIONAL PLC 4 PQUHN3JPF GFNF3BB653	100.00 %	100.00 %	0.00 %	0.00 %	36.36 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Retail	Securitized Derivatives, Other securitized derivatives	01/01/2020	31/12/2020	Yes
Top five execution venues ranked in terms of trading volumes (descending order)	Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOERSE FRANKFURT – FREIVERKEHR FRAB	BOERSE FRANKFURT – FREIVERKEHR FRAB	100.00 %	0.00 %	0.00 %	50.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Interest rates derivatives, Swaps, forwards, and other interest rates derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Interest rates derivatives, Swaps, forwards, and other interest rates derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Credit derivatives, Other credit derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Credit derivatives, Other credit derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Currency derivatives, Swaps, forwards, and other currency derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Currency derivatives, Swaps, forwards, and other currency derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Structured finance instruments	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Structured finance instruments	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Equity Derivatives, Swaps and other equity derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Equity Derivatives, Swaps and other equity derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Commodities derivatives and emission allowances Derivatives, Other commodities derivatives and emission allowances derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Execution	Commodities derivatives and emission allowances Derivatives, Other commodities derivatives and emission allowances derivatives	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Securities Financing Transactions (SFT) – Equities	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Execution	Securities Financing Transactions (SFT) – Debt instruments	01/01/2020	31/12/2020	N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG 2ZCNRR8UK83OBTEK2170	100.00 %	100.00 %			0.00 %