

EMIR – CLIENT FACT SHEET

(For further information please refer to the enclosed FAQs)

As of: November 2019

Partner number	Contract from, Contract-Type, Contract-Number
Partner name	

Our contact details

E-mail address	Facsimile number
----------------	------------------

Counterparty classification

We are a

<input type="checkbox"/> Financial counterparty (FC) of the category <input type="checkbox"/> FC+ <input type="checkbox"/> FC- <input type="checkbox"/> Non-financial counterparty (NFC), AND <input type="checkbox"/> our positions in OTC derivatives contracts exceed the relevant clearing threshold for (NFC+) at least one of the following asset classes: <input type="checkbox"/> Credit Derivatives <input type="checkbox"/> Equity Derivatives <input type="checkbox"/> Interest/Rate Derivatives <input type="checkbox"/> Foreign Exchange Derivatives <input type="checkbox"/> Commodity Derivatives and others <input type="checkbox"/> our positions in OTC derivatives contracts do not exceed the relevant clearing threshold for any asset class (NFC-); and <input type="checkbox"/> all of our positions in OTC derivatives contracts with you have been, and will be, entered into for the purpose of reducing risks directly relating to our commercial activity or treasury financing activity (and we undertake to inform you promptly where this is not the case).	<input type="checkbox"/> Private individual <input type="checkbox"/> non-commercial activity and therefore exempted (not affected by EMIR) <input type="checkbox"/> commercial activity* <input type="checkbox"/> married couple, registered live partners <input type="checkbox"/> Others _____ _____ _____ _____
--	--

* This corresponds to the classification of an NFC-

Reporting

Our Legal Entity Identifier (LEI) is:

LEI

<input type="checkbox"/> Valid only for FC+, FC- and NFC+ We delegate UniCredit Bank GmbH or any agent delegated on its behalf to report to a trade repository the details of any derivative contract concluded between us and have executed the enclosed service level agreement for that purpose	<input type="checkbox"/> Valid only for NFC- We do not wish for UniCredit Bank GmbH or any agent delegated on its behalf to report to a trade repository the details of any derivative contract concluded between us.
---	--

Portfolio Reconciliation

<input type="checkbox"/> We will be the receiving party of a relevant portfolio and we would like to receive portfolios for reconciliation via tail/ facsimile	<input type="checkbox"/> We will be the sending party of a relevant portfolio – or via broker _____ <input type="checkbox"/> Financial counterparties: TriResolve
--	--

Portfolio compression

<input type="checkbox"/> We neither have, nor expect to have, more than 500 uncleared trades outstanding with UniCredit Bank GmbH	<input type="checkbox"/> We have, or expect to have, more than 500 uncleared trades outstanding with UniCredit Bank GmbH, AND <input type="checkbox"/> We would like to use TriOptima for compression or <input type="checkbox"/> We require portfolios to be compressed bilaterally
---	--

Place	Signature
Date	